Supplementary Information

Local antiferromagnetic exchange and collaborative Fermi surface as key ingredients of high temperature superconductors

Jiangping Hu*

Beijing National Laboratory for Condensed Matter Physics, and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China and Department of Physics, Purdue University, West Lafayette, Indiana 47907, USA

Hong Ding[†]

Beijing National Laboratory for Condensed Matter Physics, and Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China

We briefly discuss reciprocal pairing form factors provided by antiferromagnetic exchange interactions. Considering a magnetic exchange coupling between two electrons at two different sites, we have

$$J_{ij}\vec{S}_i \cdot \vec{S}_j = \frac{1}{4} \sum_{\sigma} [2J_{ij}c^+_{i\sigma}c^+_{j\sigma}c_{j\bar{\sigma}}c_{i\bar{\sigma}} + J_{ij}c^+_{i\sigma}c^+_{j\sigma}c_{j\sigma}c_{i\sigma} - J_{ij}c^+_{i\sigma}c^+_{j\bar{\sigma}}c_{j\bar{\sigma}}c_{i\sigma}]$$
(1)

where $\bar{\sigma}$ labels the opposite spin direction of σ . Given an antiferromagnetic exchange coupling $J_{ij} > 0$, the decoupling of the first two terms in Eq. 1 in pairing channel leads to triplet pairing and costs energy. The last term in Eq. 1 gives singlet pair and saves energy. Defining $\Delta_{ij} = \langle c_{i\sigma}^+ c_{j\bar{\sigma}}^+ \rangle$, we obtain that the energy saved from magnetic exchange coupling is given by

$$\langle J_{ij}\vec{S}_i\cdot\vec{S}_j\rangle = -\frac{1}{2}J_{ij}|\Delta_{ij}|^2 \tag{2}$$

In a uniform superconducting state, Δ_{ij} should be a function of $\vec{r}_i - \vec{r}_j$. Therefore, we can define $\Delta_{\vec{k}} = \frac{1}{N} \sum_{\langle ij \rangle} e^{i\vec{k}\cdot(\vec{r}_i - \vec{r}_j)} \Delta_{ij} = \langle c^+_{\sigma}(\vec{k})c^+_{\sigma}(-\vec{k}) \rangle$, where N is the total number of $\langle ij \rangle$ links and $c^+_{\sigma}(\vec{k})$ is electron creation operators in momentum space.

First, we consider cases in a tetragonal lattice and define \vec{x}, \vec{y} as the unit vectors of the lattice.

- s-wave pairing by NN AF in a tetragonal lattice: $\Delta_{ii\pm\vec{x}} = \Delta_{ii\pm\vec{y}} = \Delta_0, \ \Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{ik_x} + e^{-ik_x} + e^{ik_y} + e^{-ik_y}) = \frac{\Delta_0}{2} (\cos k_x + \cos k_y).$
- *d*-wave pairing by NN AF in a tetragonal lattice: $\Delta_{ii\pm\vec{x}} = -\Delta_{ii\pm\vec{y}} = \Delta_0 , \ \Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{ik_x} + e^{-ik_x} - e^{ik_y} - e^{-ik_y}) = \frac{\Delta_0}{2} (\cos k_x - \cos k_y).$
- s-wave pairing by 2_{nd} NN AF in a tetragonal lattice: $\Delta_{ii\pm(\vec{x}\pm\vec{y})} = \Delta_0, \ \Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{ik_x + ik_y} + e^{-ik_x - ik_y} + e^{ik_x - ik_y} + e^{ik_y - ik_x}) = \Delta_0 cosk_x cosk_y.$
- *d*-wave pairing by 2_{nd} NN AF in a tetragonal lattice: $\Delta_{ii\pm(\vec{x}+\vec{y})} = -\Delta_{ii\pm(\vec{x}-\vec{y})} = \Delta_0 , \ \Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{ik_x + ik_y} + e^{-ik_x - ik_y} - e^{ik_x - ik_y} - e^{ik_y - ik_x}) = \Delta_0 sink_x sink_y.$
- s-wave pairing by 3_{rd} NN AF in a tetragonal lattice: $\Delta_{ii\pm 2\vec{x}} = \Delta_{ii\pm 2\vec{y}} = \Delta_0, \ \Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{i2k_x} + e^{-i2k_x} + e^{i2k_y} + e^{-i2k_y}) = \frac{\Delta_0}{2} (\cos 2k_x + \cos 2k_y).$
- *d*-wave pairing by 3_{rd} NN AF in a tetragonal lattice: $\Delta_{ii\pm 2\vec{x}} = -\Delta_{ii\pm 2\vec{y}} = \Delta_0$, $\Delta_{\vec{k}} = \frac{\Delta_0}{4} (e^{i2k_x} + e^{-i2k_x} - e^{i2k_y} - e^{-i2k_y}) = \frac{\Delta_0}{2} (\cos 2k_x - \cos 2k_y).$

Second, we consider a standard triangle lattice with the two unit vectors $\vec{e}_1 = (1,0), \vec{e}_2 = (\frac{1}{2}, \frac{\sqrt{3}}{2}).$

- s-wave pairing by NN AF in a triangle lattice: $\Delta_{ii\pm\vec{e}_1} = \Delta_{ii\pm\vec{e}_2} = \Delta_{ii\pm(\vec{e}_1-\vec{e}_2)} = \Delta_0,$ $\Delta_{\vec{k}} = \frac{\Delta_0}{6} (e^{i\vec{k}\cdot\vec{e}_1} + e^{-i\vec{k}\cdot\vec{e}_2} + e^{-i\vec{k}\cdot\vec{e}_2} + e^{i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)} + e^{-i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)}) = \frac{\Delta_0}{3} (\cos k_x + 2\cos \frac{k_x}{2} \cos \frac{\sqrt{3}}{2} k_y).$
- $d\pm id$ -wave pairing by NN AF in a triangle lattice: $\Delta_{ii\pm\vec{e}_1} = e^{\pm i\frac{2\pi}{3}} \Delta_{ii\pm\vec{e}_2} = e^{\pm i\frac{4\pi}{3}} \Delta_{ii\pm(\vec{e}_1-\vec{e}_2)} = \Delta_0,$ $\Delta_{\vec{k}}^{\pm} = \frac{\Delta_0}{6} (e^{i\vec{k}\cdot\vec{e}_1} + e^{-i\vec{k}\cdot\vec{e}_1} + e^{\pm i\frac{2\pi}{3}} (e^{i\vec{k}\cdot\vec{e}_2} + e^{-i\vec{k}\cdot\vec{e}_2}) + e^{\pm i\frac{4\pi}{3}} (e^{i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)} + e^{-i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)})) = \frac{\Delta_0}{3} (\cos k_x - \cos \frac{k_x}{2} \cos \frac{\sqrt{3}}{2} k_y \pm i\sqrt{3} \sin \frac{k_x}{2} \sin \frac{\sqrt{3}}{2} k_y).$

Finally, we consider a honeycomb lattice where the two unit vectors are given by $\vec{e}_1 = (\frac{\sqrt{3}}{2}, \frac{1}{2}), \vec{e}_2 = (\frac{\sqrt{3}}{2}, -\frac{1}{2})$. For convenience, we define $\vec{e}_0 = (-\frac{1}{\sqrt{3}}, 0)$.

- s-wave pairing by NN AF in a honeycomb lattice: $\begin{aligned} &\Delta_{ii+\vec{e}_0} = \Delta_{ii+\vec{e}_0+\vec{e}_1} = \Delta_{ii+(\vec{e}_1+\vec{e}_2)} = \Delta_0, \\ &\Delta_{\vec{k}} = \frac{\Delta_0}{3} (e^{i\vec{k}\cdot\vec{e}_0} + e^{i\vec{k}\cdot(\vec{e}_0+\vec{e}_2)} + e^{i\vec{k}\cdot(\vec{e}_0+\vec{e}_2)}) = \frac{\Delta_0}{3} e^{-i\frac{1}{\sqrt{3}}k_x} (1 + 2\cos(\frac{k_y}{2})e^{i\frac{\sqrt{3}}{2}k_x}). \end{aligned}$
- $d\pm id$ -wave pairing by NN AF in a honeycomb lattice: $\Delta_{ii\vec{e}_0} = e^{\pm i\frac{4\pi}{3}} \Delta_{ii\vec{e}_0+\vec{e}_1} = e^{\pm i\frac{2\pi}{3}} \Delta_{ii+(\vec{e}_0+\vec{e}_2)} = \Delta_0,$ $\Delta_{\vec{k}} = \frac{\Delta_0}{3} (e^{i\vec{k}\cdot\vec{e}_0} + e^{\pm i\frac{2\pi}{3}} e^{i\vec{k}\cdot(\vec{e}_0+\vec{e}_2)} + e^{\pm i\frac{4\pi}{3}} e^{i\vec{k}\cdot(\vec{e}_0+\vec{e}_2)}) = \frac{\Delta_0}{3} e^{-i\frac{1}{\sqrt{3}}k_x} (1 + 2\cos(\frac{k_y}{2} \pm \frac{2\pi}{3})e^{i\frac{\sqrt{3}}{2}k_x}).$
- s-wave pairing by 2_{nd} NN AF in a honeycomb lattice: $\Delta_{ii\pm\vec{e}_1} = \Delta_{ii\pm\vec{e}_2} = \Delta_{ii\pm(\vec{e}_1 - \vec{e}_2)} = \Delta_0,$ $\Delta_{\vec{k}} = \frac{\Delta_0}{6} (e^{i\vec{k}\cdot\vec{e}_1} + e^{-i\vec{k}\cdot\vec{e}_1} + e^{i\vec{k}\cdot\vec{e}_2} + e^{-i\vec{k}\cdot(\vec{e}_1 - \vec{e}_2)} + e^{-i\vec{k}\cdot(\vec{e}_1 - \vec{e}_2)}) = \frac{\Delta_0}{3} (\cos k_y + 2\cos \frac{k_y}{2} \cos \frac{\sqrt{3}}{2} k_x).$
- $d\pm id$ -wave pairing by 2_{nd} NN honeycomb in a honeycomb lattice: $\Delta_{ii\pm\vec{e}_1} = e^{\pm i\frac{2\pi}{3}} \Delta_{ii\pm\vec{e}_2} = e^{\pm i\frac{4\pi}{3}} \Delta_{ii\pm(\vec{e}_1-\vec{e}_2)} = \Delta_0,$ $\Delta_{\vec{k}}^{\pm} = \frac{\Delta_0}{6} (e^{i\vec{k}\cdot\vec{e}_1} + e^{-i\vec{k}\cdot\vec{e}_1} + e^{\pm i\frac{2\pi}{3}} (e^{i\vec{k}\cdot\vec{e}_2} + e^{-i\vec{k}\cdot\vec{e}_2}) + e^{\pm i\frac{4\pi}{3}} (e^{i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)} + e^{-i\vec{k}\cdot(\vec{e}_1-\vec{e}_2)})) = \frac{\Delta_0}{3} (\cos k_y - \cos \frac{k_x}{2} \cos \frac{\sqrt{3}}{2} k_x \pm i\sqrt{3} \sin \frac{k_y}{2} \sin \frac{\sqrt{3}}{2} k_x).$

We define the overlap between reciprocal form factors $\Delta_{\vec{k}}$ and Fermi surfaces as

$$W = \int \int dk_x dk_y |\Delta_{\vec{k}}|^2 \delta(\epsilon_{\vec{k}} - \mu)$$
(3)

To perform numerical calculations, we evaluate the above formular as follows

$$W = \frac{\int \int dk_x dk_y |\Delta_{\vec{k}}|^2 \Theta(\omega - |\epsilon_{\vec{k}} - \mu|)}{\int \int dk_x dk_y \Theta(\omega - |\epsilon_{\vec{k}} - \mu|)}$$
(4)

where ω is a small positive value that is much less than the band width and $\Theta(x)$ is the unit step function defined as $\Theta(x) = 1(0)$ if $x > 0 (x \le 0)$. W has very week dependence on ω . For a multi-band system with N bands, we evaluate W_{α} for each band and define the average weight as $W = \frac{\sum_{\alpha} W_{\alpha}}{N}$. This average weight is a good quantity to evaluate approximately the overlap strength in iron-based superconductors since the gap functions of all the bands are fitted to a single pairing form function as discussed in this paper.

* Electronic address: jphu@iphy.ac.cn

[†] Electronic address: dingh@iphy.ac.cn; Corresponding author